

# Comp 330 - Lecture 2 - September 5<sup>th</sup> 2023

## Admin

1. Ed & Crowdmark
2. Discord / Whatsapp
3. TA Office
4. AI released at 10AM

## Plan for today

1. Alphabets, strings, languages
2. Intro to Automata Theory
  - ↳ Deterministic Finite Automaton

## Alphabet

Def An alphabet is a finite set of symbols / letters.  $\Sigma \neq \emptyset$

Ex

$$\Sigma = \{0, 1\}$$

$$\Sigma = \{a, b\}$$

$$\Sigma = \{a, b, c, d, \dots, z\} \rightarrow \text{English letters}$$

## String

Def Given alphabet  $\Sigma$ , a string is a sequence of symbols from  $\Sigma$ .

Ex  $\Sigma = \{a, b\}$

$w_1 = \underline{abb}$  valid  
 $w_1 = \underline{XabbX}$

$w_2 = \underline{abc}$   $\rightarrow$  Keyboard

Remark  $\Sigma = \{a, b\}$  How do we differentiate

between symbol  $\underline{a}$  and a string  $\underline{a}$   
symbol string

To do this: Use start and end of string marker

$\underline{XaX}$  vs  $\underline{a}$   
string symbol  
" " a

### String properties and operations

#### String length

Def Consider a string  $w$  defined using alphabet  $\Sigma$  i.e.

$$w = a_1 a_2 a_3 \dots a_n \quad a_i \in \Sigma \quad 1 \leq i \leq n$$

Then the length of  $w$ ,  $|w|$ , is the number of symbols in  $w$ .  $|w| = n$

Remark Comp 330, strings are always of finite length

Def (Empty string) Given  $\Sigma$ , the empty string is the unique string with length 0. Typically, denote the empty string as  $\underline{\epsilon}$ .

$$\hookrightarrow x = \begin{matrix} "" \\ \vdots \\ \end{matrix}$$

Ex Suppose that  $\Sigma = \emptyset$ . What are the possible strings that can be created using  $\text{tw} \Sigma$ ?

It's  $\epsilon$ !

$$\emptyset \subseteq \emptyset, \quad \epsilon \notin \emptyset$$

## String concatenation

$$x = \text{"comp"} \quad y = \text{"330"}$$

$$x \uparrow y = \text{"comp330"}$$

String  
concat

Def Given  $\Sigma$ ,  $w = a_1 \dots a_n$ ,  $v = b_1 \dots b_m$

$$a_i \in \Sigma \\ 1 \leq i \leq n$$

$$b_j \in \Sigma \\ 1 \leq j \leq m$$

The string concatenation of  $w$  and  $v$  is the operation by which you append  $v$  to  $w$ :

$$w \cdot v = wv = a_1 \dots a_n b_1 \dots b_m$$

Ex What happens if  $x = \epsilon$   $y = \epsilon$  }  
 $xy = \epsilon\epsilon = \epsilon$

Remark 1. String concatenation is not commutative.

$$\sum_{u, v} u \cdot v \neq v \cdot u$$

String concatenation is associative

$$\sum_{u, v, w} (u \cdot v) \cdot w \equiv u \cdot (v \cdot w)$$

Remark 2 The empty string acts as an identity for string concatenation

$$\sum_{x} \epsilon \cdot x = x$$

$$x \cdot \epsilon = x$$

Remark 3  $\sum_{x, y} |x \cdot y| = |x| + |y|$

Notation

$$\Sigma = \{a, b\} \quad w = \underbrace{aaa \dots a}_{330 \text{ times}} = \underline{a}^{330} \Rightarrow \text{Symbol exponentiation}$$

Formally  $\underline{a}^n, n \in \mathbb{N}$  Defined as  $\underline{a}$

$$a^0 := \epsilon$$

$$a^{n+1} := a^n \cdot a$$

} Inductive / recursive definitions

Formally (String exponentiation)

$$\sum_{x \text{ is a string}} x^n = \underbrace{x \cdot x \cdot x \cdot \dots \cdot x}_n$$

$$x^0 := \varepsilon, \quad x^{n+1} := x^n \cdot x$$

Ex  $a^n, a^n b^n, \dots$

Exercise  $\Sigma = \{a, b\}$   $w = ab$   $v = ba$

What is  $w^2 v^2 w^0$ ?

$$w^2 v^2 w^0 = (ab)^2 (ba)^2 \cdot \varepsilon$$

$$= abab baba$$

$\hookrightarrow$  Palindrome

## Languages

Def 1 A language is a set of strings defined over some  $\Sigma$ .

$$\Sigma = \{a, b\}$$

length 0      length 1  
 $\downarrow$                      $\downarrow$

$$\Sigma^* = \{\varepsilon\} \cup \{a, b\} \cup \{aa, ab, ba, bb\} \cup \dots$$

$\downarrow$   
 language containing all strings defined using  $\Sigma$

Def 2 Given  $\Sigma$ ,  
 A language  $L$  is a subset of  $\Sigma^*$ .  
 $L \subseteq \Sigma^*$

**\*** Strings always finite length

Ex  $\Sigma = \{a, b\}$

$L_1 = \{a, b, aa, ab, ba, bb\} \leftarrow$  Finite,

$L_2 = \{a^n : n \in \mathbb{N}, n \text{ is even}\} \leftarrow$  Infinite/Regular

$L_3 = \{a^n b^n : n \in \mathbb{N}\} \leftarrow$  Infinite/Not regular

Ex  $\Sigma = \emptyset$   $\Sigma^* = \{\epsilon\}$

Ex  $L = \{\emptyset, \epsilon\}$

Who cares about languages?

Decision Problem: A problem where the answer is  $\{0, 1\}$

Ex: "Given a number  $n \in \mathbb{N}$ , is  $n$  even?"

Languages and language membership are DP<sub>1</sub>

$L_2$ , is  $a^n \in L_2 \iff$  is  $n$  even?

Languages offer more flexibility:

$\Sigma, \{L_1, L_2, L_3\}$

"easy to solve for language membership"

$\Rightarrow (L_1 \cup L_2 \cup L_3)$

"also easy, don't need an explicit solution"

True for regular languages

# Language operations

Operations from set theory :  $\cup, \cap, -$

## Language concatenation

Def  $\Sigma, L_1, L_2 \subseteq \Sigma^*$ , the language concatenation  
 $L_1 \circ L_2 = \{xy : x \in L_1, y \in L_2\}$   
( $L_1 \circ L_2 \neq L_2 \circ L_1$ )

Ex  $\Sigma = \{a, b\}$   $L_1 = \{a\}$   $L_2 = \{b^n : n \in \mathbb{N}\}$   $\neq$

$$L_1 \circ L_2 = \{a \cdot b^n : n \in \mathbb{N}\}$$

$$L_2 \circ L_1 = \{b^n \cdot a : n \in \mathbb{N}\}$$

Notation Language exponentiation

$$n \in \mathbb{N}, L^n = \underbrace{L \cdot L \cdot L \cdot \dots \cdot L}_{n \text{ times}}$$

Def  $L^0 := \{\epsilon\} \rightarrow \star$  True even if  $L = \emptyset$   
 $L^{n+1} := L^n \cdot L$   $\emptyset^0 \neq \emptyset$

Ex  $\Sigma = \{a, b\}$ ,  $L = \{a, b\}$ ,  $n \geq 2$

$$L^n \neq \{a^n, b^n\} \neq$$

$$L^n = \underbrace{\{a, b\} \cdot \{a, b\} \cdot \dots \cdot \{a, b\}}_{n \text{ times}}$$

$$x \in L^n, x = a_1 a_2 \dots a_n$$

$$\downarrow$$

$$a_i \in \{a, b\}$$

$$L^n = \{x \in \{a, b\}^* : |x| = n\}$$

Exercise (For you!)  $\Sigma = \{a\}$ ,  $L \subseteq \Sigma^*$ ,  $L$  is finite,  
 If  $n \geq 2$ ,  $|L^n| = |L|^n$ ? (True / False).

Star operator & Plus operator

(Kleene  
 $\downarrow$   
 Stephen  
 Kleene)

Def  $\Sigma, L \subseteq \Sigma^*$

$$L^* := L^0 \cup L^1 \cup L^2 \cup \dots$$

$$= \bigcup_{i \geq 0} L^i$$

$$:= \{w_1 w_2 \dots w_n : \underbrace{n \geq 0}_{n=0 \Rightarrow \epsilon}, w_i \in L, 1 \leq i \leq n\}$$

$\boxed{\Sigma^*}$  exactly the star operator applied  $\Sigma$ .

Def  $\Sigma, L \subseteq \Sigma^*$

$$L^+ := L^1 \cup L^2 \cup L^3 \cup \dots$$

$$:= L \cdot L^*$$

Language concatenation  
 distributes over language  
 union.

$$\epsilon \notin L^+, \quad \epsilon \in L^+ \Leftrightarrow \epsilon \in L$$



Ex How many strings of length 0, 1, 2 are in  $L^* / L^+$  for  $L = \{a, ab\}$ ?

$$L^0 = \{\epsilon\}$$

$$L^1 = \{a, ab\}$$

$$L^2 = \{aa, aab, aba, abab\} \Rightarrow \text{Check why.}$$

$$\underline{L^3} =$$

	Strings of len 0	1	2
$L^+$	X	a	ab aa
$L^*$	$\epsilon$	a	ab aa

Some properties about  $*$ :

1.  $(L^*)^* = L^*$

2.  $\phi^* = \{\epsilon\}$